



Disclose replacement API definition for submitFundCompliance

This API operation allows the client to submit compliance updates for funds that they have authority over.

Document purpose

This document outlines the data fields that will be exposed in the upcoming Disclose REST API, intended to support developers in preparing for the migration from the legacy SOAP-based services. It includes a mapping between the proposed JSON field names and their counterparts in the existing SOAP XML schema and the new Disclose Register web interface.

Please note that this document does not represent the finalised API design. The attributes listed may reside within nested objects or structures not detailed here. A complete OpenAPI Specification (OAS) will be published following the completion of the API design and initial development phases, enabling consumers to begin integration and implementation work.

submitFundCompliance operation

Operation name/ Method/ Request type	PUT
Description	Allows user with relationship and/or appropriate permission to update an existing fund for compliance
Version	
API type	REST
Endpoint	/offer/{offer-number}/fund/{fund-number}/submit-fund-compliance

Legend

Column Name	Description
Difference between REST JSON and SOAP XML element	The following options are used to indicate the nature of the difference , if any, between the new REST OpenAPI JSON element versus the current SOAP XML API element. - name - element name changed - enum - list of values changed - structure - structural changed - str - surplus to requirement Note: The following types of general differences are generally applicable and not included in the column 'Difference between REST JSON and SOAP XML element': - REST API element name format uses Camel case, e.g. offerNumber, while the SOAP XML element name format uses Pascal case, e.g. OfferNumber.

Column Name	Description
	- REST API enum values are also in Camel case, e.g. australasianEquities, while the SOAP XML enum values are in uppercase with underscore, e.g. AUSTRALASIAN_EQUITIES. Other minor naming updates to enum values have been made in some cases. - REST API element name for document fields adds the suffix 'Document', e.g. fundUpdateDocument.
Type	- string(x) - alphanumeric value, maximum of x characters - string(enum) - this is a special case to indicate that the allowed values for this string field are based on a pre-defined list of values. - integer(x) - integer value, maximum of x digits - number(x) - numeric value with decimal places (i.e., float or double), maximum of x digits including decimal places. The number of decimal places is specified in the 'Format' column. - date - date only field, no time component, using standard format as specified in the 'Format' column - date-time - date field with time component, using standard format as specified in the 'Format' column - boolean - true or false - array – for array data where an array of objects is returned in the API response. - repeater-endpoint - this is for array data, to indicate that the initial response will return an endpoint for retrieving the array data in paginated form. - If there are no relevant records the element is not returned, otherwise it will include a totalResults attribute to indicate how many items can be retrieved. - The url endpoint accepts page and page-size parameters to define how much data to return in each API call. - In some cases the first page of data may be included in the initial API response and the url endpoint can be used to retrieve further data if needed. - single-document - this is for elements that return details of a single document

Request

Operation: submitFundCompliance request							
Ref	Disclose REST OpenAPI Element Name	Type	Max chars	Mandatory - M Optional - O	New Disclose Register UI Label	Old SOAP XML Element Name	Difference between REST JSON and SOAP XML element
1	fundName	string	1000	O	Fund name	FundName	
2	fundUpdateReportingPeriod isFundFMAExempted fundFMAExemptionDescription isMaintenanceDeclarationProvided	date boolean string boolean	n/a n/a 1000 n/a	M M M if isFundFMAExempted = Yes, otherwise Optional M	Reporting period Financial markets authority exemption Financial markets authority exemption description I acknowledge that I am authorised to make this change/submit this document....	FundUpdateChangeDetailsRecord >ReportingPeriod >ComplianceFMAExemptionYn >ComplianceFMAExemptionDescription >ConfirmationYn	name

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Ref	Disclose REST OpenAPI Element Name	Type	Max chars	Mandatory - M Optional - O	New Disclose Register UI Label	Old SOAP XML Element Name	Difference between REST JSON and SOAP XML element
3	fundClassification enum: - cashAndCashEquivalents - newZealandFixedInterest - internationalFixedInterest - australasianEquities - internationalEquities - property - other - multiAssetClass - lifeCycleOption	string	n/a	M	Fund classification	FundClassificationCode enum: - CASH_AND_EQUIVALENTS - NZ_FIXED_INTEREST - INT_FIXED_INTEREST - AUSTRALASIAN_EQUITIES - INTERNATIONAL_EQUITIES - PROPERTY - OTHER - MULT_ASSET_CLASS - LIFE_CYCLE_OPTION_STAGE	name, enum
4	fundDescription	string	1000	M	Fund description	FundDescription	
5	riskIndicator	string	1	M	Risk indicator	RiskRewardIndicatorCode enum: 1 2 3 4 5 6 7	name, enum
6	totalFundValueAmount	number	n/a	M	Total value of fund - Amount	FundTotalValue	name
7	totalFundValueCurrency enum: (list of ISO currency codes)	string	n/a	M	Total value of fund - Currency code	FundTotalCurrencyCode enum: (list of ISO currency codes)	name
8	numberOfInvestors	number	n/a	O	Number of investors	NumberOfInvestors	
9	fundStartDate	date	n/a	O	Date the fund started	FundStartDate	
10	marketIndexDescription	string	1000	O	Market index description	MarketIndexDescription	

Operation: submitFundCompliance request							
Ref	Disclose REST OpenAPI Element Name	Type	Max chars	Mandatory - M Optional - O	New Disclose Register UI Label	Old SOAP XML Element Name	Difference between REST JSON and SOAP XML element
11	pastYearReturnNet	number	n/a	O	Past year return (%) - net of annual fund charges and tax	PastYearReturnNet	
12	pastYearReturnGrossTax	number	n/a	O	Past year return (%) - net of annual fund charges and gross of tax	PastYearReturnTaxGross	name
13	marketIndexPastYearReturn	number	n/a	O	Market index past year return (%) - no deductions for charges and tax	MarketIndexPastYearReturnGross	name
14	averageFiveYearReturnNet	number	n/a	O	Average 5 year return (%) - net deductions for charges and tax	AverageFiveYearReturnNet	
15	averageFiveYearReturnGrossTax	number	n/a	O	Average 5 year return (%) - net of annual fund charges and gross of tax	AverageFiveYearReturnTaxGross	name
16	marketIndexFiveYearReturn	number	n/a	O	Market index average 5 year return (%) - no deductions for charges and tax	MarketIndexFiveYearReturnGross	name
17	annualPastPerformances .fundAnnualReturnEndDate .averageAnnualReturn .marketIndexReturnPercentage	date number number	n/a n/a n/a	O M M M	Annual Past Performance Annual return period end date Average annual return (%) Market index annual return (%)	AnnualPastPerformances >AnnualPastPerformance >>ReturnPeriodEndDate >>ReturnPercentage >>MarketIndexReturnPercentage	name
18	returnSinceFundStartedNet	number	n/a	O	Return (net of annual fund charges and tax) since fund started or most recent 10-year period (%)	PerformanceSinceInceptionReturnNet	name
19	marketIndexSinceFundStarted	number	n/a	O	Market index return since fund started or most recent 10-year period (%)	MarketIndexSinceInceptionReturnGross	name
20	totalAnnualFundCharge	number	n/a	M	Total annual fund charge (%)	TotalAnnualFundCharge	
21	managersBasicFee	number	n/a	M	Manager's basic fee (%)	AnnualManagementFee	name
22	performanceBasedFees	number	n/a	M	Total performance-based fees (%)	PerformanceBasedFees	
23	otherManagementCharges	number	n/a	M	Other management and administration charges (%)	OtherFeesAndCosts	name
24	hasPerformanceBasedFees	boolean	n/a	M	Do performance based fees apply	PerformanceBasedFeesApplyYn	name
25	fundContributionFeesCalculation enum: - percentage - other	string	n/a	O	If the fund has contribution fees, how are they calculated? - Percentage - Other	ContributionFeesCode enum: - PERCENTAGE - OTHER	name

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26	contributionFeesPercentage	number	n/a	O	Fees percentage	ContributionFeesPercentage	name
27	contributionFeesOther	string	1000	O	Fees other	ContributionFeesOther	name
28	fundWithdrawalFeesCalculation enum: - percentage - other	string	n/a	O	If the fund has withdrawal fees, how are they calculated? - Percentage - Other	WithdrawalFeesCode enum: - PERCENTAGE - OTHER	name
29	withdrawalFeesPercentage	number	n/a	O	Fees percentage	WithdrawalFeesPercentage	name
30	withdrawalFeesOther	string	1000	O	Fees other	WithdrawalFeesOther	name
31	totalOtherChargesAmount	number	n/a	M	Total amount of other charges (\$) - Amount	TotalAmountOfOtherCharges	name
32	totalOtherChargesCurrency enum: (list of ISO currency codes)	string	n/a	M	Total amount of other charges (\$) - Currency code	TotalAmountOfOtherChargesCurrencyCode enum: (list of ISO currency codes)	name
33	hasIndividualActionCharges	boolean	n/a	M	Do individual action charges apply	IndividualActionChargesApplyYn	name
34	syntheticTotalExpenseRatio	number	n/a	O	Synthetic total expense ratio	SyntheticTotalExpenseRatio	
35	investmentMix .investmentType enum: - cashAndCashEquivalents - newZealandFixedInterest - internationalFixedInterest - australasianEquities - internationalEquities - listedProperty - unlistedProperty - commodities - other - unknown .targetPercentageOrRange	string string	n/a 4000	O M	Investment mix Investment type Target percentage or range	TargetAssets >TargetAssetMix >>TargetAssetType enum: - CASH_AND_EQUIVALENTS - NZ_FIXED_INTEREST - INT_FIXED_INTEREST - AUSTRALASIAN_EQUITIES - INTERNATIONAL_EQUITIES - LISTED_PROPERTY - UNLISTED_PROPERTY - COMMODITIES - OTHER >>AssetValue	name, structure, enum

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36	investmentMix.actualPercentage	number	n/a	M	Investment mix Investment type Actual percentage	ActualAssets >ActualAssetMix >>ActualAssetType enum: - CASH_AND_EQUIVALENTS - NZ_FIXED_INTEREST - INT_FIXED_INTEREST - AUSTRALASIAN_EQUITIES - INTERNATIONAL_EQUITIES - LISTED_PROPERTY - UNLISTED_PROPERTY - COMMODITIES - OTHER - UNKNOWN >>AssetValue	Structure Target and actual asset mix data is now combined into the investmentMix object

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41	keyPersonnel .personName .currentPosition .yearsInCurrentPosition .monthsInCurrentPosition .previousPosition .yearsInPreviousPosition .monthsInPreviousPosition	 string string number number string number number	 500 500 n/a n/a 500 n/a n/a	 O M M M O O O	Key personnel Name Current position Years in current position Months in current position Previous position Years in previous position Months in previous position	KeyPersonnelRecords >KeyPersonnelRecord >>PersonName >>PersonPosition >>TimeInPositionYears >>TimeInPositionMonths >>PersonPositionPrevious >>TimeInPositionPreviousYears >>TimeInPositionPreviousMonths	name
42	fundReturnsData .fundReturnDate .fundReturnPercentage	 date number	 n/a n/a	M if isFundFMAExempted = No, otherwise Optional M M	Fund returns Fund return - Day Month Year Fund return %	FundReturnsData >ReturnRecords >>RowNumber >>ReturnDate >>ReturnPercentage	structure, name
43	fundUpdateDocument (DocumentFile)	document-upload		M if isFundFMAExempted = No, otherwise Optional	Fund update	FundUpdate >RegistrationDocument	

Response

The submitted data is echoed back in the response.